

8TH ANNUAL EUROPE GLOBAL INVESTOR PROTECTION CONFERENCE

NO
ATTENDANCE FEE

DAY 1: Monday, February 1, 2016, 8:00 - 17:00

DAY 2: Tuesday, February 2, 2016, 8:00 - 12:15

Hilton Frankfurt Hotel

Hochstrasse 4, Frankfurt, Germany



WHY GLOBAL INVESTOR PROTECTION MATTERS

Changes in U.S. jurisdiction have led to important changes in the area of investor protection and fiduciary duties of institutional investors. The impact has been significant and institutional investors, or the asset managers advising them, often face loss recovery opportunities while lacking sufficient or proper information to make informed decisions. In a world of growing fiduciary duties and dire consequences of inaction, the right decision can be a distinguishing factor for institutional investors who are seeking to avoid potential liability while maximizing loss recovery.

However, new jurisdictions and cases such as Volkswagen (Germany), Petrobras (Brazil), Saipem (Italy), Tesco (England), Olympus (Japan), and Toshiba (Japan) bring with them a host of challenges as well as the need for new financial and procedural concepts which rarely fit into established systems and policies of institutional investors. This conference addresses many of the pressing questions of institutional investors and provides a unique opportunity to obtain first-hand information from many of the leading experts in the field.

OUR ATTENDEES

DRRT conferences are attended by representatives from leading institutional investors, including investment companies, asset managers, mutual fund companies, trustee banks, Sovereign Wealth Funds, public pension funds, corporate pension funds, and custodian banks. Attendees are usually from legal, compliance or operations departments.

SOCIAL PROGRAM

Sunday, January 31

The pre-conference dinner at the Hilton is our traditional way to kick-off the conference for all attendees from out of town and local guests who want to connect with other participants and speakers before the main conference. The dinner provides the opportunity for personal discussions with speakers, participants and the organizers at the conference hotel. Entertainment will be provided by Harry Keaton.

Monday, February 1

Join us for a special evening program at the Börse Frankfurt (Frankfurt Stock Exchange).

Purpose of the conference

Explain differences between the U.S. system and relevant non-U.S. investor loss recovery jurisdictions around the world. Provide a platform for discussions and networking among peers and international experts.

What to expect

Presentations, panel discussions and Q&A sessions complemented by extensive documentation and informative conference materials.

What is the benefit

Obtain an in-depth insight into the topic and acquire first-hand information from international experts as well as exchange ideas with peers from leading institutions.

● MONDAY, FEBRUARY 1, 2016 HILTON LIBERTY BALLROOM

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|---------------|--|
| 08:00 – 08:45 | Breakfast & Networking (Liberty Ballroom Foyer) |
| 08:45 – 09:00 | Welcome, Introduction & Overview 2015 Alexander Reus, Managing Partner, DRRT |
| 09:00 – 09:40 | Choosing the Right Jurisdiction – Modern Forum Shopping Anthony Maton, Partner, Hausfeld & Co LLP Matthew Stowe, Vice President and Assistant General Counsel, MFS Investment Management Jan Hendrik Crucq, Partner, CCL Advocaten B.V. Jean-François Poulmais, Head of Global Data Management, Amundi Moderator: Joseph Gulino, Director, DRRT |
| 09:40 – 10:10 | Reliance & Causation: Export of the Fraud-on-the-market Doctrine to Non-U.S. Countries Angela Reimer, Foreign Associate, DRRT Guus Warringa, Institutional Investor Relations, Grant & Eisenhofer P.A. Moderator: Alexander Reus, Managing Partner, DRRT |
| 10:10 – 10:25 | Break |
| 10:25 – 11:10 | Damages ≠ Damages - How Damages Vary From Country to Country Frank Torchio, President, Forensic Economics, Inc. Timothy McKenna, Vice President, NERA Economic Consulting Moderator: Christian Wefers, Director, DRRT |
| 11:10 – 11:50 | New Developments in the Financial Market Manipulation Cases (FX, LIBOR, HFT etc.) Christian Wefers, Director, DRRT Anthony Maton, Partner, Hausfeld & Co LLP Dr. Gerald Preidl, Principal, Valere Capital Partners LLP Moderator: Karolina Pechanova, Associate, DRRT |
| 11:50 – 12:15 | Bribery & Corruption – Causes for New Types of Shareholder Litigation? Kevin M. LaCroix, Executive Vice President, RT ProExec /R-T Specialty, LLC Yuri Leite, Associate, Finkelstein Advogados Moderator: Joseph Gulino, Director, DRRT |
| 12:15 – 12:20 | Q&A |
| 12:20 – 13:30 | Lunch Buffet (Liberty Ballroom Foyer) |
| 13:30 – 13:50 | The Life of a Proof of Claim – Seen From Within! Michael O'Connor, Director Client Services, Epiq Systems, Inc. |
| 13:50 – 14:30 | Financing Collective Redress or Group Litigation – Pros/Cons and the Future of Third-Party Litigation Funding Dr. Stefaan Voet, Professor of Law, Leuven University Charles Wright, Partner, Siskinds LLP Martin Hyde, Managing Director, Claims Funding Europe Ltd Moderator: Joseph Gulino, Director, DRRT |
| 14:30 – 14:45 | Break |
| 14:45 – 15:15 | Olympus, Toshiba, Takata - Developments and Trends in Japanese Investor Protection Taijun Ichii, Partner, Koga & Partners |
| 15:15 – 16:00 | Interaction between Regulators, Prosecutors and Private Litigants Dr. Stefaan Voet, Professor of Law, Leuven University Thomas Zimmerli, Deputy Executive Director & Senior Legal Counsel, Swiss Funds & Asset Management Association (confirmation pending) Luigi Isolabella Della Croce, Studio Legale Isolabella (confirmation pending) Moderator: Christian Wefers, Director, DRRT |
| 16:00 – 16:15 | Summary, Outlook and Q&A Alexander Reus, Managing Partner, DRRT |
| 16:15 – 17:00 | Refreshments & Networking (Liberty Ballroom Foyer) |

● TUESDAY, FEBRUARY 2, 2016 HILTON PRESIDENTIAL SUITE

- 08:00 – 08:30 Breakfast & Networking
- 08:30 – 09:00 Active Litigation – When? Where? How?
Alexander Reus, Managing Partner, DRRT
- 09:00 – 10:00 The World of Non-U.S. Special Cases (Volkswagen, HRE, Petrobras, Saipem, Olympus, Toshiba)
Andreas Tilp, Managing Partner, Tilp Rechtsanwaltsgesellschaft mbH
Francesco Di Carlo and Alfredo Craca, Partner, Craca, Di Carlo, Guffanti, Pisapia, Tatozzi
Yuri Leite, Associate, Finkelstein Advogados
Moderator: Alexander Reus, Managing Partner, DRRT
- 10:00 – 10:10 Break
- 10:10 – 10:50 Developments & Trends in U.S. Claims Administration – A Roundtable Discussion
with Leading U.S. Claims Administrator Epiq Systems
Michael O'Connor, Director Client Services, Epiq Systems, Inc.
- 10:50 – 12:15 Institutional Investor Workshop (Attendees from Institutional Investors only)
Discussion of different approaches to and handling of class actions, opt-outs, claims filing and non-class action claims around the world (e.g. policy-making, procedures, handling of publicity issues) with the objective of fostering cooperation and developing common practices
- 12:30 – 14:00 Lunch

● ATTENDEE TESTIMONIALS

"I really appreciate the effort DRRT has put into bringing all the presenters to make this conference very interesting and the regions/topics covered very diverse."

"The conference was very informative and well organized. The materials provided are a good volume of information. I really enjoyed the conference."



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CLE CREDITS

The conference has been approved for 8.0 General, Business Litigation and Int'l Law Credits. The organizer will issue attendance certificates and can assist with any application. (Florida Bar Ref. Number: 1507591N)

REGISTRATION

Please register by **January 15, 2016** with Silja Krause at skrause@drdt.com or call +1 (305) 760-8020.

TRANSLATION SERVICES

Simultaneous translation (German-English and English-German) will be provided for all Monday sessions.

VENUE & ACCOMMODATION

- The Hilton Frankfurt Hotel (Hochstrasse 4) is located in downtown Frankfurt close to the Alte Oper and the Frankfurt Stock Exchange
- A discounted hotel rate of €139 is available for conference guests. Rooms can be booked through DRRT.
- Want to stay an extra night? Conference rates also apply to additional nights before and after the event.

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